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The Tri-Agency Open Access Policy: Easy Compliance Quick Guide

The Policy: As of May 2015, all peer-reviewed journal publications resulting from funding by the Tri-Agency (CIHR, NSERC, SSHRC) must be freely available online within 12 months of publication.

To comply with the Tri-Agency Policy, you must either:

Green Open Access: Archive the post-print or the published version in an institutional or subject repository.

Queen's University Library can work with you to deposit your articles in QSpace, Queen's institutional repository.

OR

Gold Open Access: Publish in an Open Access journal

Search the Directory of Open Access Journals (doaj.org) to locate high-impact, scholarly open access journals, which are subject to rigorous peer review just like subscription-based journals or contact the Scholarly Publishing Librarian.

Definitions:

Open Access
Publications that are free to read on the Internet. Readers can download, copy, and distribute an Open Access publication, as long as credit is given to the authors.

Gold Open Access
Journals in which readers do not require a subscription or any other form of payment, either personally or through their university or library, to access the content. e.g. *PLoS Biology*

Green Open Access
The author makes the version of a paper for which they own copyright (typically, of articles published in conventional subscription-based journals) in a subject or institutional repository.
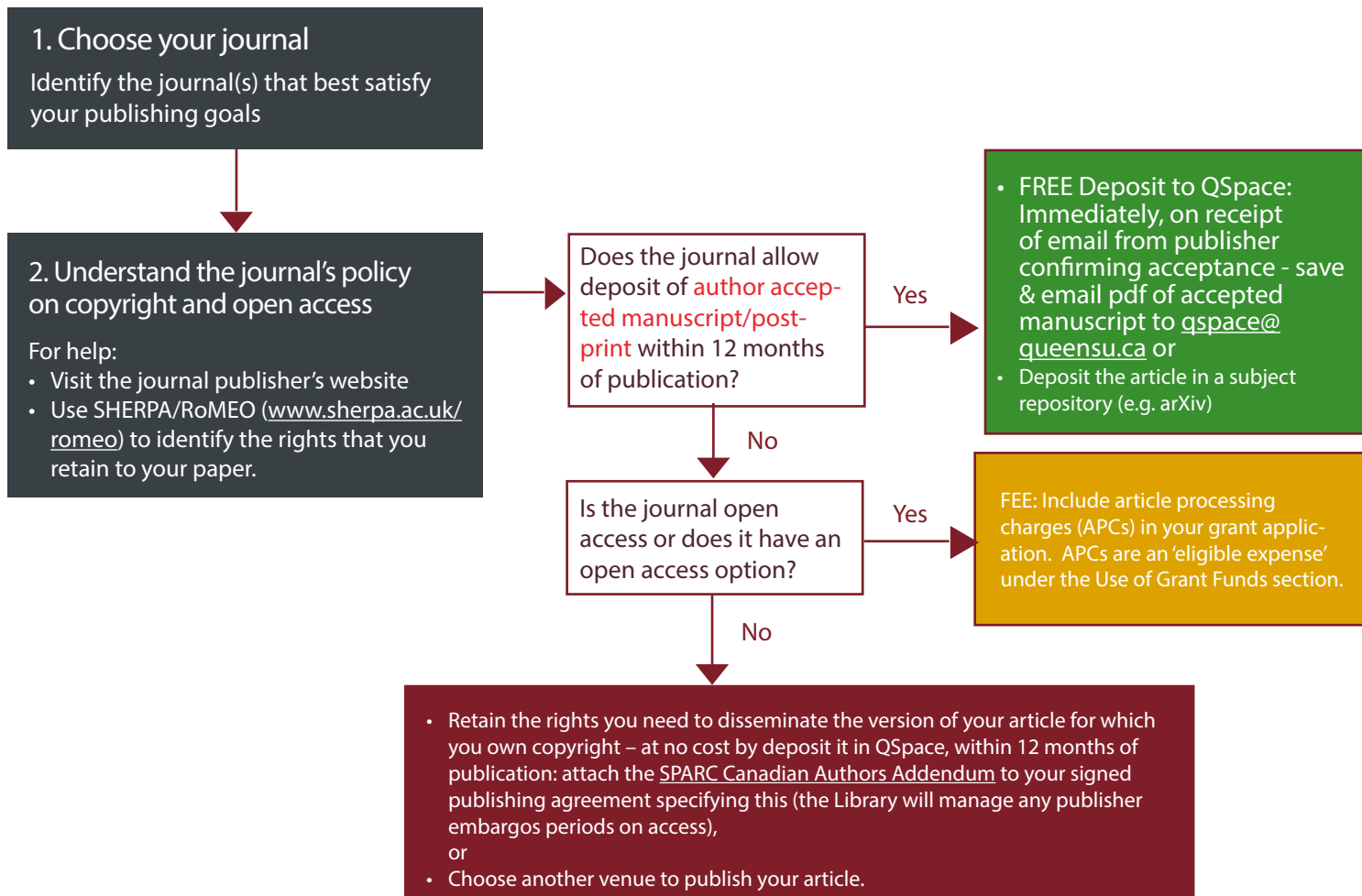
QSpace (Queen's Institutional Repository)
An online archive of Queen's research, including publications in peer-reviewed journals, books and book-sections, working papers, conference presentations and more. QSpace is a compliant repository.

Subject Repository
An online collection of publications in a particular subject area. The repository collects, preserves and provides open access to the publications. Examples include arXiv, RePEc, and PubMed Central. Subject repositories are also called disciplinary repositories.

Note: The Tri-Agency Open Access Policy on Publications applies to all grants awarded after May 1, 2015. Recipients of graduate scholarships and fellowships are not required to adhere to the policy, although the Agencies encourage open access to all research publications.

The Tri-Agency Open Access Policy: How to Comply

1. Choose your journal

Identify the journal(s) that best satisfy your publishing goals

2. Understand the journal's policy on copyright and open access

For help:
- Visit the journal publisher's website
- Use SHERPA/RoMEO (www.sherpa.ac.uk/romeo) to identify the rights that you retain to your paper.

Does the journal allow deposit of author accepted manuscript/post-print within 12 months of publication?

Yes →

- FREE Deposit to QSpace: Immediately, on receipt of email from publisher confirming acceptance - save & email pdf of accepted manuscript to qspace@queensu.ca or
- Deposit the article in a subject repository (e.g. arXiv)

No ↓

Is the journal open access or does it have an open access option?

Yes →

FEE: Include article processing charges (APCs) in your grant application. APCs are an 'eligible expense' under the Use of Grant Funds section.

No ↓

- Retain the rights you need to disseminate the version of your article for which you own copyright – at no cost by deposit it in QSpace, within 12 months of publication: attach the SPARC Canadian Authors Addendum to your signed publishing agreement specifying this (the Library will manage any publisher embargos periods on access),
or
- Choose another venue to publish your article.

Definitions:

Article Processing Charge (APC)
A fee levied by an open access publisher to cover costs associated with publication. Fees can range from $200 to $5000 or more per article.

Author Addendum
A legal instrument that modifies the publisher's copyright transfer agreement and allows you to keep rights to your article(s). E.g. SPARC Canadian Author Addendum

Post-print, Author Accepted Manuscript (AAM), Post Peer Reviewed Manuscript

Terms used to describe the accepted version of an article after peer-review, with revisions having been made (usually the copyright of the author). This is the version most publishers allow authors to deposit to QSpace. Note: this is not the final publisher-created version.